

## ORIGINAL ARTICLE

## Colonization by Multidrug Resistant Gram-Negative Bacilli: is it a matter for hospitalized children?

*Colonização por bacilos Gram-negativos multirresistentes: isso é um problema para as crianças hospitalizadas?*

*Colonización por bacilos gram-negativos resistentes a múltiples fármacos: ¿es un problema para niños hospitalizados?*

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### ABSTRACT

**Background and Objective:** The colonization of skin and mucosa by multidrug resistant gram-negative bacilli (MR GNB) in hospitalized patients has received increasing attention. **Methods:** We evaluated the colonization by MR GNB to determine the MR GNB antimicrobial susceptibility profile and resistant genes in a paediatric population. A case-control study was carried out, patients considered colonized by MR GNB and patients non-colonized by MR GNB were evaluated. The variables that were compared between the groups were infection, central venous catheter, lung mechanical ventilation, long-term urinary catheter, and outcome. The sensitivity of the bacteria to the antimicrobial agents was analysed by the disc-diffusion technique, and the genetic diversity of the isolates was analysed by enterobacterial repetitive intergenic consensus sequence-polymerase chain reaction (ERIC-PCR). **Results:** Patients with infection had 4 times greater odds of being colonized by MR GNB than non-infected patients. Among children colonized by MR GNB, the presence of an invasive procedure increased the odds of infection by 15 times. In children who were not colonized by MR GNB, the presence of an invasive procedure increased the odds of infection by 4 times. Among the 20 strains of MR GNB analysed, lower resistance was found for carbapenem and amikacin. The most common resistance mechanism was the production of CTXM1

enzymes, followed by CTXM15 and SHV. **Conclusions:** Colonization by MR GNB was associated with infection in paediatric patients; therefore, measures should be taken to prevent colonization by MR GNB. Consequently, knowing the state of bacterial colonization is still important in pediatric wards.

**Keywords:** Enterobacteriaceae; Drug resistance, microbial; Cross infection; Infection Control.

### RESUMO

**Justificativa e Objetivo:** A colonização da pele e mucosa por bacilos gram-negativos multirresistentes (MR BGN) em pacientes hospitalizados tem recebido crescente atenção. **Métodos:** Avaliamos a colonização por RM do BGN para determinar o perfil de suscetibilidade antimicrobiana do MR e os genes resistentes em uma população pediátrica. Foi realizado um estudo caso-controle, foram avaliados pacientes considerados colonizados por RM BGN e pacientes não colonizados por RM BGN. As variáveis comparadas entre os grupos foram infecção, cateter venoso central, ventilação mecânica pulmonar, cateter urinário a longo prazo e evolução. A sensibilidade da bactéria aos agentes antimicrobianos foi analisada pela técnica de difusão em disco e a diversidade genética dos isolados pela reação em cadeia da polimerase de sequência intergênica repetitiva

enterobacteriana (ERIC-PCR). **Resultados:** Os pacientes infectados tiveram 4 vezes mais chances de serem colonizados pelo BGN MR do que os pacientes não infectados. Entre as crianças colonizadas pelo MR BGN, a presença de um procedimento invasivo aumentou em 15 vezes as chances de infecção. Em crianças que não foram colonizadas pelo MR BGN, a presença de um procedimento invasivo aumentou em 4 vezes as chances de infecção. Entre as 20 linhagens de BGN MR analisadas, foi encontrada menor resistência ao carbapenem e amicacina. O mecanismo de resistência mais comum foi a produção de enzimas CTXM1, seguidas por CTXM15 e SHV. **Conclusões:** a colonização pela RM do BGN esteve associada à infecção em pacientes pediátricos; portanto, devem ser tomadas medidas para evitar a colonização por MR BGN. Portanto, conhecer o status da colonização bacteriana permanece importante nas enfermarias pediátricas.

**Palavras chave:** Enterobacteriaceae; Resistência microbiana a medicamentos; Infecção Hospitalar; Controle de infecção.

## RESUMEN

**Justificación y Objetivo:** La colonización de la piel y la mucosa por bacilos gran-negativos resistentes a múltiples fármacos (BGN MR) en pacientes hospitalizados ha recibido una atención creciente. **Métodos:** Evaluamos la colonización por BGN MR para determinar el perfil de susceptibilidad antimicrobiana BGN MR y los genes resistentes en una población pediátrica. Se realizó un estudio de casos y controles, se evaluaron pacientes considerados colonizados por BGN MR y pacientes no colonizados por BGN MR. Las variables que se compararon entre los grupos fueron infección, catéter venoso central, ventilación mecánica pulmonar, catéter urinario a largo plazo y deslance. La sensibilidad de la bacteria a los agentes antimicrobianos se analizó mediante la técnica de difusión en disco, y la diversidad genética de los aislamientos se analizó mediante la reacción en cadena de secuencia polimerasa de secuencia intergénica repetitiva enterobacteriana (ERIC-PCR). **Resultados:** los pacientes con infección tenían 4 veces más probabilidades de ser colonizados por BGN MR que los pacientes no infectados. Entre los niños colonizados por BGN MR, la presencia de un procedimiento invasivo aumentó las probabilidades de infección en 15 veces. En niños que no fueron colonizados por BGN MR, la presencia de un procedimiento invasivo aumentó las probabilidades de infección en 4 veces. Entre las 20 cepas de BGN MR analizadas, se encontró menor resistencia para carbapenem y amikacina. El mecanismo de resistencia más común fue la producción de enzimas CTXM1, seguido de CTXM15 y SHV. **Conclusiones:** la colonización por BGN MR se asoció con infección en pacientes pediátricos; por lo tanto, se deben tomar medidas para prevenir la colonización por BGN MR. Por consiguiente, conocer el estado de la colonización bacteriana sigue siendo importante en las salas de pediatría.

**Palabras clave:** Enterobacteriaceae; Farmacorresistencia Microbiana; Infección Hospitalaria; Control de Infecciones.

## INTRODUCTION

Colonization may be the first step of health care-related infection (HCRI), which is acquired soon after admission and manifests during hospitalization or after discharge, when it can be related to hospitalization or hospital procedures.<sup>1,2</sup>

Several factors contribute to the occurrence of HCRI, including the use of invasive device, as central vascular catheters (CVCs) and consequently a greater potential for morbidity

and mortality.<sup>3</sup>

The risk factors for gram-negative bacilli (GNB) infection are as follows: use of antibiotics in the previous six months, previous hospitalization (last three months), prior surgery (last 12 months), presence of chronic disease, presence of immunosuppression, and invasive device use (invasive ventilation, central catheters, and urinary catheter).<sup>4,5</sup>

Childhood represents a particularly vulnerable stage of life, with the highest susceptibility to infections, especially in the age group below 2 years, since the immune system is immature. In the hospital environment, the child is exposed to a wide variety of microorganisms that can trigger colonization and infection.<sup>4</sup>

In the United States, 1,003 hospitals reported 20,390 HCRI with the presence of 22,323 microorganisms in paediatric units between 2011 to 2014. Among all HCRI, the following pathogens were responsible for more than 60% of those reported: *Staphylococcus aureus* (17%), coagulase-negative staphylococci (17%), *Escherichia coli* (11%), *Klebsiella pneumoniae* and/or *K. oxytoca* (9%), and *Enterococcus faecalis* (8%).<sup>6</sup>

Although the development of antimicrobial resistance is a naturally occurring phenomenon, there is greater selective pressure and dissemination of resistance due to the misuse of antimicrobials and inadequate programmes for infection prevention and control, which favour the transmission of resistance among microorganisms.

Multidrug resistant GNB (MR GNB) are unanimously recognized as one of the most troubling challenges in the field of health care. Their clinical impact is even more worrying in neonatal and paediatric care, where treatment options are limited.<sup>7,8</sup>

For the prevention and control of HCRI, it is necessary to establish MR control policies in the institution and standardization of the implementation and maintenance of invasive devices. The objective of this study was to analyse the association between colonization and HCRI by MR GNB of hospitalized children in the paediatric ward of a university hospital (UH) in southern Brazil.

## METHODS

### Study population

This is a prospective cohort study of children during hospitalization in a UH. A patient was considered to be colonized by MR GNB when his or her first swab was negative and he or she subsequently presented at least one swab or sterile material that was positive for MR GNB during the same hospital stay. Patients with two or more negative swabs and sterile material without MR GNB isolation at the same hospital stay were considered to be non-colonized by MR GNB.

After the definition of cases (patients colonized by MR GNB) and controls (patients not colonized by MR GNB), a case-control study was performed to analyse the variables: infection, central venous catheter (CVC), lung mechanical ventilation (MV), long-term urinary catheter (LTUC), and outcome.

The study was approved by the local board of ethics, nº 15415413.4.0000.5231 of April 22, 2016. All mothers of recruited patients who were hospitalized in the Paediatric Units of the University Hospital of Londrina were asked to carefully read and sign an informed consent and the present research was performed in accordance with the ethical standards of the 1964 Declaration of Helsinki and its later amendments. All samples were coded to protect patient anonymity.

### Inclusion and exclusion criteria

Among 687 patients hospitalized from January to December 2016 at the Paediatric Ward and Paediatric ICU





**Table 1.** Analysis of the association of colonization by multidrug resistant gram-negative bacilli (MR GNB) with clinical-demographic variables, University Hospital of Londrina/PR- Brazil, January to December 2016.

	Patients colonized by MR GNB (n=35)	Patients not colonized by MR GNB (n=106)	Odds Ratio (IC 95%)	p
<b>Average age (months)</b>	24.8 (0.2-82.6)	18.5 (1.4-63.6)	-	0.916
<b>Sex</b>				
Female	16 (45.7%)	42 (39.6%)	1.283 (0.594-2.773)	0.525
Male	19 (54.3%)	64 (60.4%)		
<b>Length of hospital stay (days)</b>	17.0 (10.0-48.0)	13.5 (8.0-25.5)	-	0.088
<b>Presence of infection</b>				
Yes	14 (40.0%)	15 (14.2%)	4.044 (1.696-9.647)	0.001
No	21 (60.0%)	91 (85.8%)		
<b>Exposure to invasive procedure</b>				
Yes	7 (20.0%)	22 (20.8%)	0.955 (0.368-2.473)	0.924
No	28 (80.0%)	84 (79.2%)		
<b>Type of procedure</b>				
None	28 (80.0%)	84 (79.2%)	-	0.954
MV	04 (11.4%)	12 (11.3%)		
CVC	0 (0.0%)	1 (1.0%)		
LTUC	03 (8.6%)	09 (8.5%)		
<b>Outcome</b>				
Discharge	35 (100.0%)	103 (97.2%)	1.029 (0.996-1.063)	0.574
Death	00 (0.0%)	3 (2.8%)		

Note. MV mechanical pulmonary ventilation, CVC central vascular catheter, LTUC long-term urinary catheter. The categorical data were evaluated by Chi-square or Fisher's Exact.

The demographic and clinical data for the patients who were colonized and not colonized by MR GNB are presented in table 1. The median age of the patients was 19.8 months (interquartile range [IQR; 25 and 75] 1 - 66 months). The median time of hospitalization was 15 days (IQR [25 and 75] 8 - 31 days). The time to the swab becoming positive was 3 to 51 days, with a median of 9 days (IQR [25 and 75] 6 - 25 days).

Patients with infection were 4 times more likely to present MR GNB colonization when compared to non-infected patients (OR: 4.044; CI 1.696 - 9.647). No differences were found between the groups that were colonized or not colonized by MR GNB in relation to the presence of the procedure (p=0.924), type of procedure (p=0.954), and outcome (p=0.574) (Table 1).

Demographic and clinical data for the patients colonized by MR GNB according to the presence of infection are presented in table 2. Male subjects were 16% less likely than females

subjects to have infection (OR: 0.16, CI 0.36-0.72).

Patients who were colonized and who had undergone an invasive procedure were 15 times more likely to have infection than patients without an invasive procedure (OR: 15.0; CI 1.5-145.2).

Most patients without infection had no invasive procedure, while 42.9% of the patients with infection used MV or LTUC.

All patients colonized by MR GNB were discharged regardless of the presence or absence of infection.

Data from patients who were not colonized by MR GNB according to the presence or absence of infection are presented in table 3. Non-colonized patients who underwent some type of procedure were 4 times more likely to present infection than patients without any procedure. Regarding the type of procedure, 46.7% of the non-colonized and infected patients

**Table 2.** Analysis of the association of infection with the demographic and clinical data of patients colonized by multiresistant gram-negative bacilli, University Hospital of Londrina/PR- Brazil, January to December 2016.

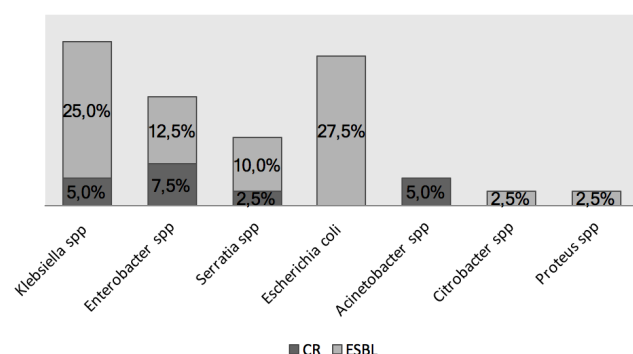
	Presence of infection (n=14)	Absence of infection (n=21)	Odds Ratio (IC 95%)	p
<b>Sex</b>				
Female	10 (71.4%)	06 (28.6%)	0.16 (0.360-0.715)	0.018
Male	04 (28.6%)	15 (71.4%)		
<b>Exposure to invasive procedure</b>				
Yes	6 (42.9%)	1 (4.8%)	15.0 (1.500-145.200)	0.010
No	8 (57.1%)	20 (95.2%)		
<b>Type of procedure</b>				
None	08 (57.1%)	20 (95.2%)	-	0.015
MV	2 (14.3%)	1 (4.8%)		
CVC	0 (0.0%)	0 (0.0%)		
LTUC	4 (28.6%)	0 (0.0%)		
<b>Outcome</b>				
Discharge	14 (100.0%)	21 (100.0%)	-	-
Death	0 (0.0%)	0 (0.0%)		

Note. MV mechanical pulmonary ventilation, CVC central vascular catheter, LTUC long-term urinary catheter. The categorical data were evaluated by Chi-square or Fisher's Exact and presented.

**Table 3.** Analysis of the association of infection with the demographic and clinical data of patients not colonized by multiresistant gram-negative bacilli, University Hospital of Londrina/PR- Brazil, January to December 2016.

	Presence of infection (n=15)	Absence of infection (n=91)	Odds Ratio (IC 95%)	p
<b>Sex</b>				
Female	7 (46.7%)	35 (38.5%)	0.714 (0.238-2.140)	0.547
Male	8 (53.3%)	56 (61.5%)		
<b>Exposure to invasive procedure</b>				
Yes	7 (46.7%)	15 (16.5%)	4.43 (1.396-14.089)	0.008
No	8 (53.3%)	76 (83.5%)		
<b>Type of procedure</b>				
None	8 (53.3%)	76 (83.5%)	-	0.012
MV	3 (20.0%)	10 (11.0%)		
CVC	0 (0.0%)	1 (1.1%)		
LTUC	4 (26.7%)	4 (4.4%)		
<b>Outcome</b>				
Discharge	14 (93.3%)	89 (97.8%)	0.32 (0.027-3.704)	0.370
Death	1 (6.7%)	2 (2.2%)		

Note. MV mechanical pulmonary ventilation, CVC central vascular catheter, LTUC long-term urinary catheter. The categorical data were evaluated by Chi-square or Fisher's Exact.



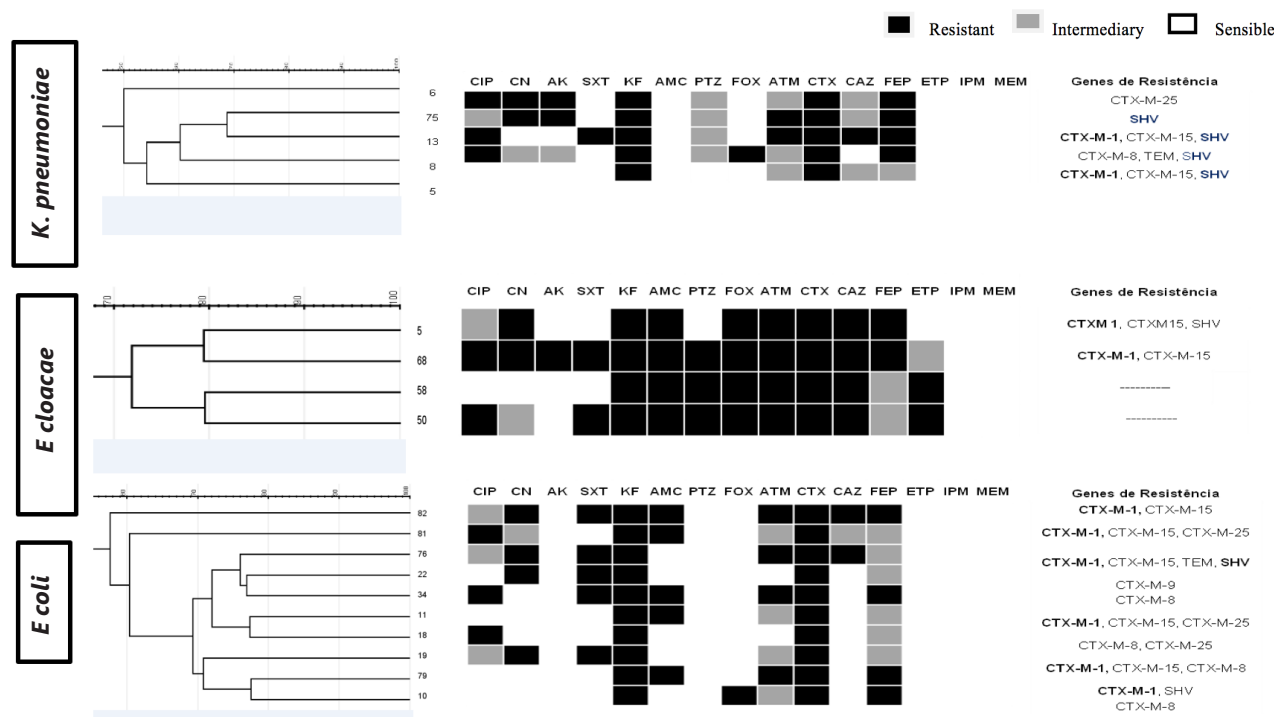
**Figure 1.** Presentation of multidrug resistant gram-negative bacilli (n = 35), University Hospital of Londrina/PR- Brazil, January to December 2016.

underwent MV or LTUC, while 16.5% of the non-infected patients underwent MV, CVC, or LTUC. Only one patient colonized by *Klebsiella* spp had this microorganism isolated in urine, and the patient was considered to have a urinary infection.

Of the 35 patients who were colonized with MR GNB, the molecular study and sensitivity profile of ESB<sub>L</sub>-producing enterobacteria were performed in 20 representative samples. Among these samples, 4 (20.0%) had *E. cloacae*, 6 (30.0%) *K. pneumoniae*, 9 (45.0%) *E. coli*, and 1 (5.0%) had *Citrobacter* spp (Fig. 1).

Among the strains analysed, we observed 65% resistance to ciprofloxacin, 40% resistance to sulfamethoxazole trimethoprim and piperacillin-tazobactam, 25% resistance to amikacin, 15% to ertapenem, and 0% resistance to imipenem and/or meropenem.

The genotypic analysis of MR GNB is presented in Figure 2.



**Figure 2.** Dendrogram, antimicrobial resistance profile and main resistance enzymes of multidrug resistant gram-negative bacilli  
CIP: ciprofloxacin, CN: gentamicin, AK: amikacin, SXT: trimethoprim-sulfamethoxazole, KF: cephalotin, AMC: amoxicillin, PTZ: piperacillin-tazobactam, FOX: ceftazidime, ATM: aztreonam, CTX: cefotaxime, CAZ: ceftazidime, FEP: cefepime, ETP: ertapenem, IPM: imipenem, MEM: meropenem

In 7 samples (35%), the microorganisms presented 3 different resistance enzymes. The CTXM1 enzyme was present in 50% of the samples. Among the 6 samples of *Klebsiella* spp, the SHV enzyme was detected in 5 (83.3%) samples, CTXM1 in 3 (50%) samples, and TEM in 1 (16.7%) sample. In four samples of *Klebsiella* spp, an association of two or more enzymes was detected.

In two samples with ESBL *E. cloacae* isolate, it was not possible to define the enzyme responsible for the combined resistance.

## DISCUSSION

In our study, we observed a high frequency of MR GNB, and the patients presented a median age and frequency of colonization that were higher than those described in the literature. A survey performed with 111 African paediatric patients with a median age of 8 months (IQR 25 and 75: 4 and 14 months) undergoing cardiac surgery identified colonization by ESBL MR GNB in 17 (15%) patients, while 94 (85%) patients were not colonized. However, as in our study, the isolated species were *K. pneumoniae* in 9 (53%) patients, *E. coli* in 6 (35%) patients, and *E. cloacae* in 2 (12%) patients.<sup>16</sup> Lautenbach *et al.* conducted a study in North American adults and found no differences between the colonized and non-colonized groups with respect to age and sex. They also showed that colonized patients were more likely than non-colonized patients to have infection, longer hospitalizations prior to infection, and a CVC or urinary catheter.<sup>17</sup> As weaknesses of the present study, we highlight the small number of patients with CVC.

Research on colonization by ESBL-producing microorganisms in Polish children subjected to cardiac surgery showed colonization in 16% of them.<sup>18</sup> French patients in an intensive care unit (ICU) had 25% colonization by ESBL GNB, and hospitalized Korean patients had 28.2% colonization by ESBL GNB.<sup>19,20</sup>

In the present study, patients who were colonized by MR GNB had higher odds of infection; similar results were found by other researchers. Cheikh *et al.* showed that among the patients who were colonized by ESBL, 4 (23.5%) developed postoperative infection; however, only 1% of non-colonized patients developed postoperative infection.<sup>16</sup> The microorganisms that were responsible for the infections in the colonized patients were *K. pneumoniae* (2 patients; 50%) and *E. coli* (2 patients; 50%). The chance of developing infection in colonized patients was 22 times higher than in non-colonized patients (CI 95% 8.37-58.5), and the only non-colonized patient who developed infection demonstrated infection by ESBL *E. cloacae*.

Another study showed that patients colonized by *E. coli* and *K. pneumoniae* were more likely than the controls to have infection, longer hospitalizations prior to infection, and use of a CVC or urinary catheter.<sup>17</sup>

Ben-Ami *et al.* showed that colonized individuals had a higher risk of bacteraemia than non-colonized individuals.<sup>21</sup> Cheikh *et al.* also showed an association between previous colonization with ESBL and the occurrence of infection after surgery in paediatric patients.<sup>16</sup> These results are in agreement with those found in our study, in which patients colonized with MR GNB were 4 times more likely than non-colonized patients to have infection.

Bacterial resistance has been a challenge in clinical practice. Among the treatment options for ESBL MR GNB infections, piperacillin-tazobactam has often been associated with therapeutic failure, necessitating a therapeutic change for carbapenems. In turn, the indiscriminate use of carbapenems has led to their resistance and the need to prescribe new drugs, such as tigecycline, and older drugs, such as colistin.<sup>22, 23</sup>

In the present study, the enzyme CTXM was detected

in half of the samples with the GNB isolate. The presence of CTXM *E. coli* clones has been associated with severe invasive infections.<sup>24</sup> The most widely found extended spectrum beta-lactamases are CTXM, SHV, and TEM, with increasing KPCs.<sup>23,25</sup>

According to Pereira *et al.*, among 2563 cases of bloodstream infections from June 2007 to March 2010, GNB was isolated from 49% of the samples, and *K. pneumoniae*, *A. baumannii*, and *P. aeruginosa* were the main isolated microorganisms.<sup>26</sup> The resistance of piperacillin-tazobactam ranged from 25-55.6%, and resistance to carbapenems ranged from 2-23%; in our study, 20% of the total samples were resistant to imipenem and/or meropenem (CR). They reported that among the isolates of *K. pneumoniae*, the presence of CTXM, and SHV and TEM was 63.6%, 45.4% and 28%, respectively, whereas in our study, 6 samples of *Klebsiella pneumoniae* were isolated, and SHV was detected in most of these samples, followed by CTXM1.

In conclusion, MR GNB colonization was associated with infection in paediatric patients, and the presence of invasive procedures (MV and LTUC) was a facilitator for infection in children colonized by MR GNB. Therefore, measures should be taken to prevent MR colonization in hospitalized children.

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